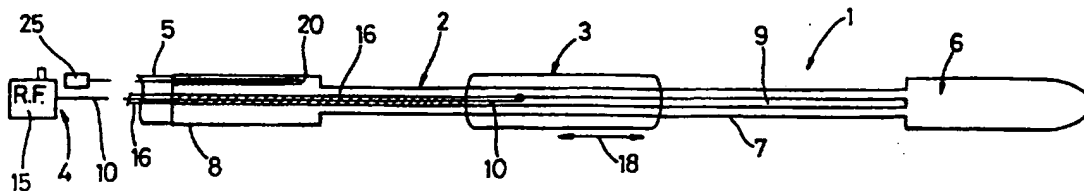




## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<b>(21) International Application Number:</b> PCT/GB97/01270 <b>(22) International Filing Date:</b> 9 May 1997 (09.05.97)  <b>(30) Priority Data:</b> 9609866.0                      11 May 1996 (11.05.96)                      GB  <b>(71)(72) Applicant and Inventor:</b> MORGAN, John, Mark [GB/GB]; Corner Cottage, Houghton, Near Stockbridge SO20 6LT (GB).  <b>(72) Inventor; and</b> <b>(75) Inventor/Applicant (for US only):</b> CUNNINGHAM, Andrew, David [GB/GB]; Kinsale, Watt Road, Bridge of Weir, Strathclyde PA11 3DN (GB).  <b>(74) Agent:</b> BARKER, BRETTELL & BOUTLAND; Medina Chambers, Town Quay, Southampton SO14 2AQ (GB).		<b>(81) Designated States:</b> JP, US, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>

**(54) Title:** ABLATION CATHETER**(57) Abstract**

With reference to the figure, an ablation catheter (1) for use in heart surgery comprises a probe (2), a tubular electrode (3) mounted on the probe, so as to axially be slidable relative thereto, and remote-operated actuator means (4) for so sliding the electrode (3). The probe (2) is of electrically-insulating material, and comprises a tip (6), an axially-extending shaft (7), and a rear end portion (8). A surface groove (9) is formed in the probe which extends longitudinally up to the tip (6). The groove (9) locates a conductor (10) which interconnects the electrode (3) with a controllable source (15) of electrical energy and is enclosed in an insulating sheath (16) of flexible construction. The flexible sheath (16), and conductor (10) therein are axially slidable within the groove (9) of the catheter (1). The sheath (16) provides for electrical insulation of the conductor (10) as the electrode (3) is moved along the probe shaft (7). In use, the catheter (1) is employed to create long endocardial lesions in cardiac chambers by application of radio frequency current provided by the source (15). Contiguous lesions are created, in a series of steps, by careful, remote-operated movement of the electrode (3), and energisation of the same.

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### ABLATION CATHETER

This invention relates to ablation catheters and particularly, but not exclusively, to an ablation catheter suitable for use in medical procedures  
5 related to the treatment of heart disease.

For example, the catheter may be used to cause limited and localised damage in cardiac chambers, by employment of radio frequency energy.

10 According to the present invention, an ablation catheter comprises a probe, an electrode mounted on the probe so as to be movable relative thereto, and remote-operated actuator means for moving the electrode.

An elongate conductor is preferably connected to the electrode, and  
15 insulation means is preferably provided around the conductor.

The insulation means may comprise a tubular sheath which extends substantially from the actuator means to the electrode, and is housed in a longitudinal channel in the probe. Axial sliding movement of the electrode  
20 is preferably then arranged to be effected by axial movement of the sheathed conductor at the end thereof remote from the electrode.

Alternatively, the insulation means may comprise an insulation sleeve which is axially slidable on a shaft of the probe, the conductor extending in  
25 the space defined radially between the shaft and the insulation sleeve, and the insulation sleeve being retractable at least in part into an annular bore defined in a rearward portion of the probe.

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Various embodiments of the invention will now be described, by way of example only, with reference to the accompanying drawings, wherein:

5 Figure 1 is a fragmentary side view, partly in section, of an ablation catheter in accordance with the invention,

Figure 2 is a fragmentary view, on an enlarged scale, which illustrates a modification,

10 Figure 3 is a fragmentary side view of an alternative embodiment employing a mobile insulation sleeve, and

Figure 4 is a side elevation of a modified probe tip incorporating additional bipolar sensing electrodes; and

15

Figure 5 is a side elevation of a two-part electrode.

With reference to Figure 1, an ablation catheter 1 for use in heart surgery comprises a probe 2, a tubular electrode 3 mounted on the probe, so as to  
20 axially be slidable relative thereto, and remote-operated actuator means 4 for so sliding the electrode 3.

The catheter 1 also comprises a flexible tube or shaft 5 forming an extension of the probe 2.

25

The flexible tube/shaft 5 and the probe 2 are of electrically-insulating material, and comprise a tip 6, an axially-extending shaft 7 and a rear end portion 8 to which the flexible tube 5 is attached.

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A surface groove or channel 9 is formed in the end portion 8 and shaft 7, and extends longitudinally up to the tip 6, or near to the tip 6.

5 The channel 9 locates a conductor 10 which interconnects the electrode 3 with a controllable source 15 of (in this example) radio frequency energy. The conductor 10 is enclosed in an insulating sheath 16 of flexible construction.

10 The flexible sheath 16, and conductor 10 therein are axially slidable within the channel 9 of the catheter 1. This provides for electrical insulation of the conductor 10 as the electrode 3 is moved along the probe shaft 7. The conductor 10 and sheath 16 are long enough to allow for this movement of the electrode 3.

15 The illustrated electrode 3 is of tubular and cylindrical form (but in alternative embodiments could be of ovoid, spherical or other geometry) so that it is slidable along the probe shaft 7, as indicated by the double-headed arrow 18. The material of the electrode 3 is of electrically-conducting metal or alloy.

20 With reference to Figure 5, the movable electrode 3 may, if desired, may be of multi-component form and comprise independent components 3a, 3b connected to independent conductors 10a, 10b respectively, and insulated from each other by insulation 35. The conductors 10a, 10b are connected  
25 to the controllable R.F. source 15.

The actuator mechanism 4 which provides for movement of the electrode 3 is connected to the conductor 10 (or 10a, 10b) by means of a sliding mechanism, ratchet mechanism (for example worm screw attachment to the

- 4 -

terminal portion of conductor 10) or other mechanism so that operation of this actuator causes axial displacement of the conductor 10 and thereby axial displacement of electrode 3, which is moved along the probe shaft 7 towards the end portion 8 from an initial position closer to the tip 6, or vice versa.

The portion of the probe over which the electrode 3 is displaced may be coated with a hydrophobic or similar substance in order to lubricate the displacement of the catheter.

10

Figure 2 shows an example of a suitable ratchet mechanism 27, which comprises a worm screw 40, the teeth of which are engaged by a worm wheel 41, whereby manual rotation of the wheel causes axial movement of the worm screw 40 and thereby corresponding axial movement of the conductor 10 and electrode 3.

Using established technology in the construction of deflectable ablation catheters, the portion of the probe shaft 7 over which the electrode 3 slides, may be flexed, extended, or rotated by axial or rotational displacement of a collar 42 fixed to the actuator mechanism 4, as indicated by the double headed arrows 26a, 26b.

In an alternative arrangement, a replaceable stylet 20 may be fitted in the end portion 8 of the probe 7. This allows a range of stylets having different end curvatures to be introduced into the end portion 8 and advanced to the probe tip, so as to produce curvatures of that portion of the probe shaft 7 over which the electrode slides.

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It will be appreciated that actuating means 4 or 27, like the source 15, are located remote from the catheter tip 6.

5 In the application of the invention, the catheter 1 is employed to create long endocardial lesions in cardiac chambers or long epicardial lesions on the outer surface of the cardiac chambers by application of radio frequency current provided by the source 15. Contiguous lesions are created, in a series of steps, by careful, remote-operated movement of the electrode 3, and delivery of energy thereto (from source 15) at each position.

10

Temperature control during lesion production may be effected by using standard components such as thermocouples or thermistors, embedded in the electrode 3 or in a catheter shaft disposed nearby.

15 In an alternative embodiment, direct current or other energy may be employed as an alternative to alternating radio frequency current.

Other suitable forms of heat energy comprise laser energy and microwave energy.

20

In Figure 3 parts corresponding to those of the embodiment of Figure 1 have been given like reference numerals.

25 In the alternative embodiment of Figure 3, instead of groove 9, the conductor 10 extends through an axially retractable insulation collar 2a which slides over the shaft of the catheter. As shown in Figure 3, the collar 2a abuts with electrode 3 and is retractable in part within an annular blind bore 30 in the end portion 8 on leftward movement in Figure 3 of the

- 6 -

electrode from the initial position, shown. This is intended to facilitate easier assembly of the ablation catheter.

5 In the modification of Figure 4, the tip 6 incorporates an annular electrode 28 and a tip electrode 29, the electrodes 28, 29 being electrically insulated from each other by insulation 30. However, a single electrode may be provided if desirable, or even no electrode.

10 Components of the catheter probe which are to be inserted into the body may be coated, except for the electrode 3, with chemicals which have anticoagulation properties. For example, Heparin or Ticlopidine, or related compounds.

15 Electrical connections may be provided between one or more of the electrodes 3, 28, 29 and sensitive amplifiers, whereby electrical signals emitted by the heart may be displayed on an electronic screen, and condition of the heart monitored thereby.



**CLAIMS**

1. An ablation catheter characterised in that it comprises a probe (2), an electrode (3) mounted on the probe so as to be movable relative thereto,  
5 and remote-operated actuator means (4) for moving the electrode (3).
2. A catheter as claimed in claim 1, having an elongate conductor (10) connected to the electrode (3).
- 10 3. An ablation catheter as claimed in claim 2, wherein insulation means (16,2a) is provided around the conductor (10).
4. An ablation catheter as claimed in claim 3, wherein the insulation means (16, 2a) comprises a tubular sheath which extends substantially  
15 longitudinally from the actuator means (4) to the electrode (3) and is housed in a longitudinal channel 9, 30) formed in the probe.
5. An ablation catheter as claimed in claim 4, wherein axial sliding movement of the electrode (3) is effected by axial movement of the  
20 sheathed conductor (2a) at the end thereof remote from the electrode.
6. An ablation catheter as claimed in claim 3, wherein the probe has a shaft portion (7) and the insulation means comprise an insulation sleeve (2a) axially slidable on the shaft portion, the conductor (10) extending  
25 longitudinally between the shaft portion (7) and the insulation sleeve (2a), the insulation sleeve being retractable at least in part into a recess (30) formed in a rearward portion (8) of the probe.

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7. An ablation catheter as claimed in claim 6, wherein said recess comprises an annular bore (30) formed in said rearward portion (8) of the probe.
- 5 8. An ablation catheter as claimed in any one of claims 4 or 5, wherein the longitudinal channel comprises a surface groove (9) formed in the exterior of the probe.
9. An ablation catheter as claimed in any one of claims 1 to 8, wherein  
10 the probe has a tip (6), at least part of which incorporates an electrode (28, 29).
10. An ablation catheter as claimed in claim 9, wherein the electrode (29) incorporated in the probe tip (6) is disposed at the forward end thereof.
- 15 11. An ablation catheter as claimed in claim 9, wherein the electrode incorporated in the probe tip (6) is disposed rearward (28) of the forward end thereof.
- 20 12. An ablation catheter as claimed in any one of claims 1 to 11, wherein the electrode actuator means (4) is operable to cause axial displacement of the conductor (10) and thereby axial displacement of the electrode (3).
- 25 13. An ablation catheter as claimed in claim 12, wherein the electrode actuator means comprise a ratchet mechanism (27).
14. An ablation catheter as claimed in any one of claims 1 to 13, wherein the non-electrode parts thereof are coated with anti-coagulation material.

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15. An ablation catheter as claimed in any one of claims 1 to 14, wherein the movable electrode (3) is of multi-electrode component (3a, 3b) form.
16. The combination of an ablation catheter as claimed in any one of  
5 claims 1 to 15, and a controlled source (15) of heat energy connected to thereto.
17. The combination claimed in claim 16, wherein the source of energy  
10 (15) comprises a source of radio frequency electrical energy, laser energy or microwave energy.
18. An ablation catheter substantially as hereinbefore described, with reference to Figure 1 of the accompanying drawings.
- 15 19. An ablation catheter substantially as hereinbefore described, with reference to Figure 3 of the accompanying drawings.
20. An ablation catheter substantially as hereinbefore described, with reference to Figure 1 or 3 of the accompanying drawings, modified  
20 substantially as hereinbefore described with reference to Figure 4 of said drawings.
21. An ablation catheter substantially as hereinbefore described, with reference to Figure 1 or 3 of the accompanying drawings, modified  
25 substantially as hereinbefore described with reference to Figure 2 of said drawings.

- 10 -

22. An ablation catheter substantially as hereinbefore described, with reference to Figure 1 or 3 of the accompanying drawings, modified substantially as hereinbefore described reference to Figure 5 of said drawings.

5

23. The combination of an ablation catheter as claimed in any one of claims 1 to 22 and a source of energy connected thereto.



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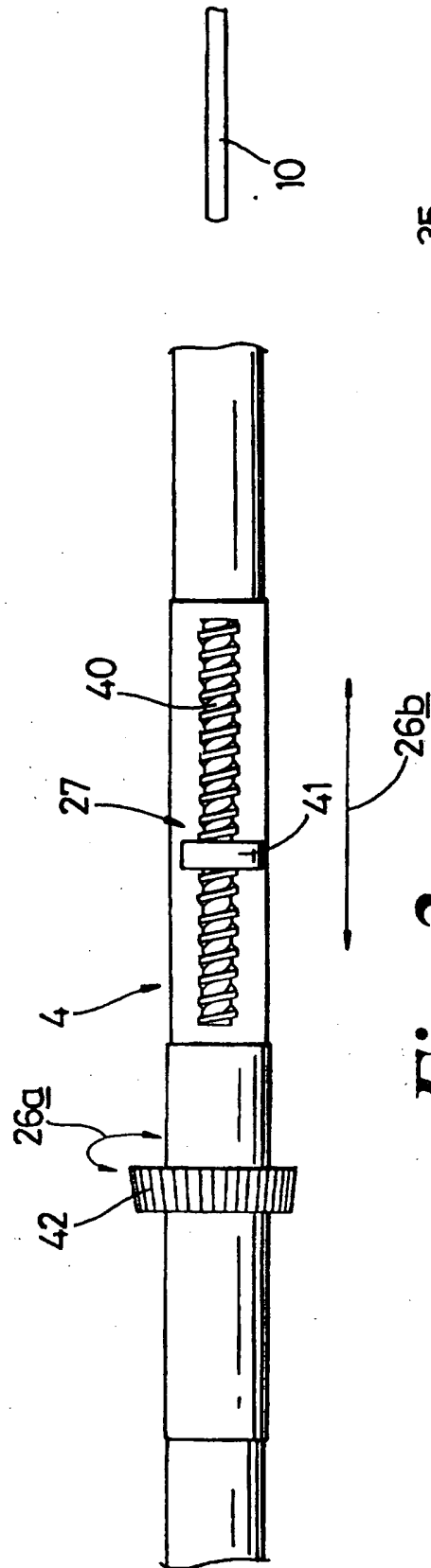


Fig. 2

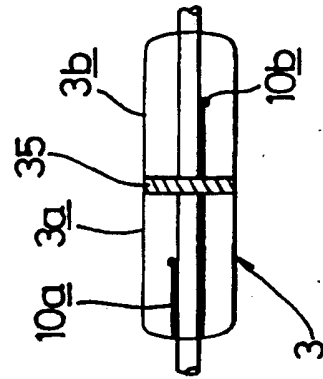


Fig. 5

## INTERNATIONAL SEARCH REPORT

Intern. Application No

PCT/GB 97/01270

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 A61B17/39

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 A61B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 95 18575 A (VIDAMED) 13 July 1995	1-5, 9,
Y	see the whole document	12, 17, 23
	---	9-11
Y	WO 94 24931 A (ARROW INT.) 10 November 1994	9-11
	see abstract; figure 1A	
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☐ Further documents are listed in the continuation of box C.


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# INTERNATIONAL SEARCH REPORT

Information on patent family members

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Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9518575 A	13-07-95	AU 1447695 A US 5599295 A	01-08-95 04-02-97
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